

Facsimile

Intellectual Property Department  
400 Collins Rd. NE MS 124-323  
Cedar Rapids, IA 52498

**Rockwell  
Collins**

To:	Mail Stop: AF	From:	Kyle Eppele
Location:	US Patent Office	Location:	124-323
Fax:	703-872-9306	Fax:	319-295-8777
Tel:		Tel:	319-295-8280
Pages:	9 (including Lead)	Date:	April 8, 2005

Applicant: L. Hoffman et al.


Serial No. 09/576,187

Filed: May 23, 2000

For: Method And System For Managing The Configuration Of An Evolving Engineering Design Using An Objected-Oriented Database

Docket No.: 99CR073/KE

Item: RCE comprising of 4 pages (in duplicate)

  
Kyle Eppele  
Reg. 34,155

**\*\*NOTICE TO RECIPIENT\*\***

The documents accompanying this facsimile transmission contain information from the Legal Department of Rockwell Collins which is intended only for the use of the individual or entity named in this transmission sheet. Any unintended recipient is hereby notified that the information is privileged and confidential, and any disclosure, reproduction, use or distribution of this information is prohibited. If you are not the intended recipient (or employee or agent responsible for delivery), please notify us by telephone immediately so that we can arrange for the retrieval of the transmitted documents at no cost to you.

IF THERE ARE ANY PROBLEMS WITH THIS TRANSMISSION  
PLEASE CALL SHEILA MATHEWS (319) 295-8077 (or VPN 295-8077)

Atty. Dkt. No. 99CR073/KE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Leonard R. Hoffman et al.

**Title:** Method And System For  
Managing The Configuration  
Of An Evolving Engineering  
Design Using An Objected-  
Oriented Database

**Appl. No.:** 09/576,187

**Appl. Filing Date:** May 23, 2000

**Examiner:** G. Robinson

**Art Unit:** 2177

**CERTIFICATE OF FACSIMILE TRANSMISSION**  
I hereby certify that this paper is being facsimile transmitted to  
the United States Patent and Trademark Office, Alexandria,  
Virginia on the date below.

Kyle Eppele

(Printed Name)

Kyle Eppele

(Signature)

April 8, 2005

(Date of Deposit)

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**TRANSMITTAL**

**Mail Stop RCE**  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

Atty. Dkt. No. 99CR073/KE

1. Submission required under 37 C.F.R. §1.114: (check items that apply)

## a. Previously submitted:

- ☐ Please enter and consider the amendment/reply previously filed on \_\_\_\_\_.
- ☐ Please consider the Affidavit(s)/Declaration(s) previously filed on \_\_\_\_\_ but not considered.
- ☒ Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on November 2, 2004.

## b. Enclosed are:

- ☐ Amendment/Reply being submitted herewith.
- ☐ Affidavit(s)/Declaration(s).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of REFS listed reference(s).

## Miscellaneous:

- ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months.

Atty. Dkt. No. 99CR073/KE

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e):					= \$790.00
Total Claims:	14	- 20	= 0	x \$50.00	= \$0.00
Independents	4	- 5	= 0	x \$200.00	= \$0.00
First presentation of any Multiple Dependent Claims:				+	= \$0.00
CLAIMS FEE TOTAL:					= \$790.00

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the  
total number of months checked below:

<input type="checkbox"/>	Extension for response filed within the first month:	\$120.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$450.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$1020.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1590.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$2160.00	\$0.00
EXTENSION FEE SUBTOTAL:			\$0.00
EXTENSION FEE ALREADY PAID: -			
EXTENSION FEE TOTAL			\$0.00
CLAIMS AND EXTENSION FEE TOTAL:			\$790.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		
<input type="checkbox"/>	Suspension of action requested under 37 C.F.R. § 1.103(c)		
TOTAL FEE:			\$790.00

☒ Please charge Deposit Account No. 18-1722 in the amount of \$790.00. A duplicate copy of this transmittal is enclosed.

Atty. Dkt. No. 99CR073/KE

[ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 18-1722 Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 18-1722.

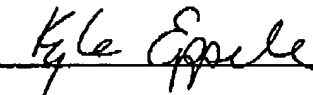
Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date April 8, 2005

ROCKWELL COLLINS, INC  
400 Collins Road, NE  
Cedar Rapids, Iowa 52498  
Telephone: (319)295-8280  
Facsimile: (319)295-8777  
Customer No.: 26383

By



Kyle Eppelle  
Attorney for Applicant  
Registration No.: 34,155

Atty. Dkt. No. 99CR073/KE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Leonard R. Hoffman et al.

Title: Method And System For  
Managing The Configuration  
Of An Evolving Engineering  
Design Using An Objected-  
Oriented Database

Appl. No.: 09/576,187

Appl. Filing Date: May 23, 2000

Examiner: G. Robinson

Art Unit: 2177

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, Virginia on the date below.

Kyle Eppeler

(Printed Name)

Kyle Eppeler

(Signature)

April 8, 2005

(Date of Deposit)

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**TRANSMITTAL**

Mail Stop RCE  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

Atty. Dkt. No. 99CR073/KE

1. Submission required under 37 C.F.R. §1.114: (check items that apply)

## a. Previously submitted:

- ☐ Please enter and consider the amendment/reply previously filed on \_\_\_\_\_.
- ☐ Please consider the Affidavit(s)/Declaration(s) previously filed on \_\_\_\_\_ but not considered.
- ☒ Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on November 2, 2004.

## b. Enclosed are:

- ☐ Amendment/Reply being submitted herewith.
- ☐ Affidavit(s)/Declaration(s).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of REFS listed reference(s).

## Miscellaneous:

- ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months.

Atty. Dkt. No. 99CR073/KE

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e):					= \$790.00
Total Claims:	14	- 20	= 0	x \$50.00	= \$0.00
Independents	4	- 5	= 0	x \$200.00	= \$0.00
First presentation of any Multiple Dependent Claims:				+	= \$0.00
CLAIMS FEE TOTAL:					= \$790.00

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/> Extension for response filed within the first month:	\$120.00	\$0.00
<input type="checkbox"/> Extension for response filed within the second month:	\$450.00	\$0.00
<input type="checkbox"/> Extension for response filed within the third month:	\$1020.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fourth month:	\$1590.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fifth month:	\$2160.00	\$0.00
EXTENSION FEE SUBTOTAL:		\$0.00
EXTENSION FEE ALREADY PAID: -		
EXTENSION FEE TOTAL		\$0.00
CLAIMS AND EXTENSION FEE TOTAL:		\$790.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):		
<input type="checkbox"/> Suspension of action requested under 37 C.F.R. § 1.103(c)		
TOTAL FEE:		\$790.00

☒ Please charge Deposit Account No. 18-1722 in the amount of \$790.00. A duplicate copy of this transmittal is enclosed.



Atty. Dkt. No. 99CR073/KE

[ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 18-1722 Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 18-1722.


Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date April 8, 2005

ROCKWELL COLLINS, INC  
400 Collins Road, NE  
Cedar Rapids, Iowa 52498  
Telephone: (319)295-8280  
Facsimile: (319)295-8777  
Customer No.: 26383

By

  
\_\_\_\_\_  
Kyle Eppelle  
Attorney for Applicant  
Registration No.: 34,155